

# Compliance Agreements, Limited Permits and Certificates

## Compliance Agreement

The purpose of a Compliance Agreement is to bring a person or firm into full compliance with the applicable requirements for handling regulated ash materials. PPQ Form 519, Compliance Agreement, provides a written and signed agreement with shippers, dealers, carriers, facilities, and processors confirming their understanding of the methods, conditions, and procedures necessary for compliance with USDA-APHIS Regulations.

## Limited Permit

In the northern region, untreated ash materials can only be moved from quarantined to non-quarantined areas from **November 1 to March 1**. (See the EAB Activity Map at: <http://www.gatrees.org/forest-management/forest-health/eab/index.cfm> ). Each load must be accompanied by a Limited Permit. Ash logs must be loaded separately or the butts of ash logs must be painted so they are easily identifiable within the mixed load.

In the southern region, untreated ash materials must remain in quarantined areas and cannot receive a Limited Permit.

Two copies of the Limited Permit must accompany the load. The receiving facility signs both copies and gives one to a regulatory agent and retains the other. Receiving facilities must keep copies of Limited Permits for 36 months.

## Certificate

Treated ash materials can be moved from quarantined areas to non-quarantined areas with a Certificate. Treated ash materials can be transported throughout the state year round.

Materials are considered treated if they have been:

1. Chipped to 1" in two dimensions (can be done on site), or
2. Debarked and removal of additional ½ inch of wood (can be done on site), or
3. Kiln sterilized (maximum wood thickness of 3"), or
4. Fumigated, or
5. Heat treated

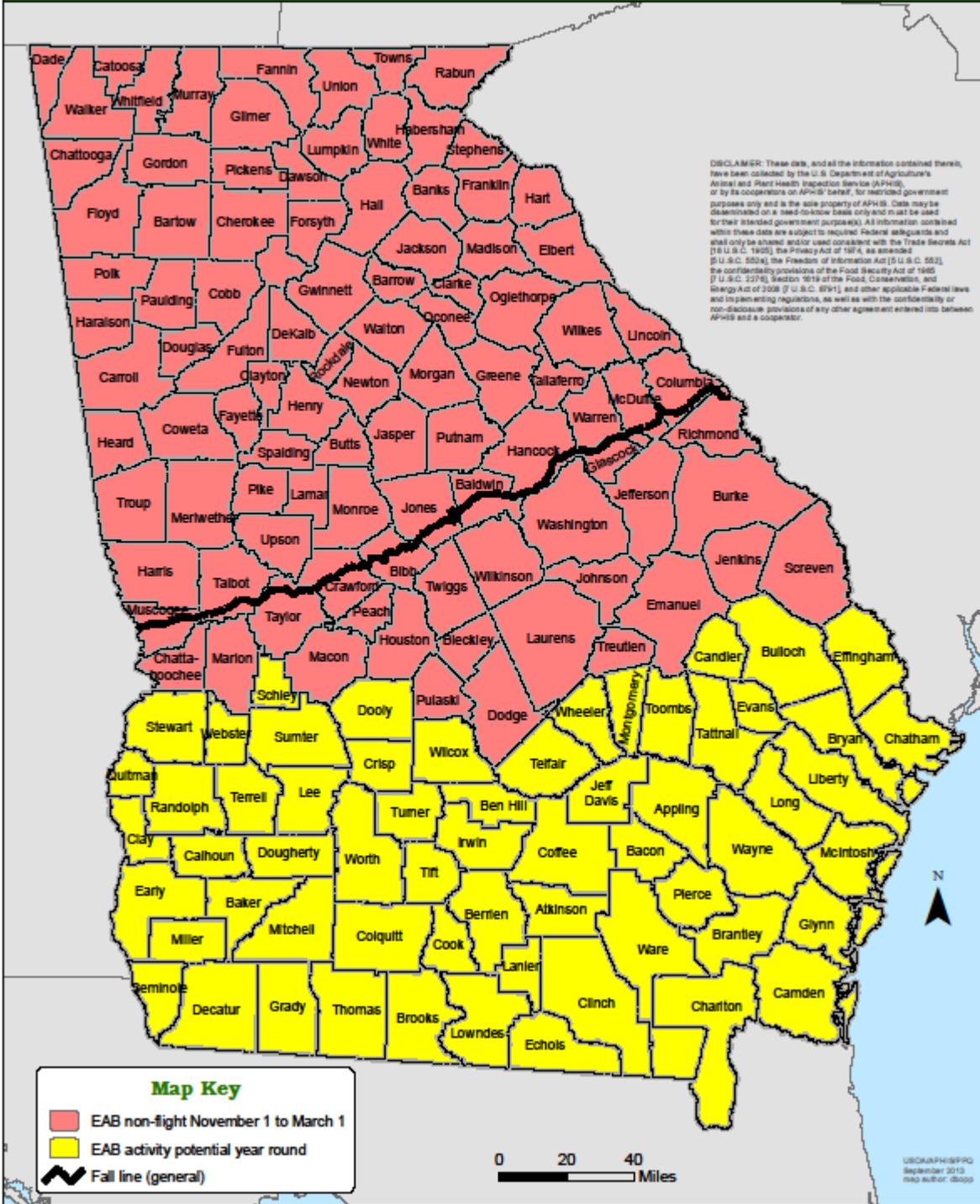
See Addendum to PPQ Form 519 for treatment processes that meet EAB Quarantine criteria.

Two copies of the Certificate must accompany the load. The receiving facility signs both copies and gives one to a regulatory agent and retains the other. Receiving facilities must keep copies of Certificates for 36 months.



# Cooperative Emerald Ash Borer Project

EAB Regulatory Flight Zones in Georgia (proposed)



DISCLAIMER: These data, and all the information contained therein, have been collected by the U. S. Department of Agriculture's Animal and Plant Health Inspection Service (APHIS), or by its cooperators on APHIS' behalf, for restricted government purposes only and is the sole property of APHIS. Data may be disseminated on a need-to-know basis only and must be used for their intended government purposes. All information contained within these data are subject to required Federal safeguards and shall only be shared and/or used consistent with the Trade Secrets Act (18 U.S.C. 1905), the Privacy Act of 1974, as amended (5 U.S.C. 552a), the Freedom of Information Act (5 U.S.C. 552), the confidentiality provisions of the Food Security Act of 1985 (7 U.S.C. 2274), Section 101a of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8911), and other applicable Federal laws and implementing regulations, as well as with the confidentiality or non-disclosure provisions of any other agreement entered into between APHIS and a cooperator.

<b>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE</b>	<b>COMPLIANCE AGREEMENT</b>
<b>1. NAME AND MAILING ADDRESS OF PERSON OR FIRM</b>	<b>2. LOCATION</b>

**3. REGULATED ARTICLE(S)**  
 All ash (*Fraxinus* sp.) material must be processed and/ or documented in accordance with Federal Emerald Ash Borer quarantine requirements before exiting the regulated area. Regulated material includes, but is not limited to: ash nursery stock, ash logs, ash lumber, hardwood firewood, ash chips/mulch, wood packing material constructed with ash.

**4. APPLICABLE FEDERAL QUARANTINE(S) OR REGULATIONS**  
 U.S. Department of Agriculture Animal and Plant Health Inspection Service (USDA APHIS) quarantine regulation in the Code of Federal Regulations, 7 CFR § 301.53-1 through § 301.53-9, Georgia Forestry Commission quarantine regulations at Ga. Comp. R. & Regs, r. 224-3-.01 through .14, and the Georgia Department of Agriculture quarantine regulation at Ga. Comp. R. & Regs, r. 40-4-25.01 through .14.

**5. I/WE AGREE TO THE FOLLOWING:**  
 This establishment will ship regulated ash material from a Federal quarantine area ONLY after applicable treatments/ mitigations are completed at the establishment's expense. Explanations and schedules are detailed in attached addendum. Regulated articles that have undergone an approved treatment or mitigating process may be shipped throughout the year. This establishment will ensure that each shipment of regulated material moved interstate is accompanied by a completed Federally issued Certificate or Federally issued Limited Permit. All Federal forms are accountable and will be handled according to USDA requirements.

# Sample

<b>6. SIGNATURE</b>	<b>7. TITLE</b>	<b>8. DATE SIGNED</b>
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The affixing of the signatures below will validate this agreement which shall remain in effect until cancelled, but may be revised as necessary or revoked for noncompliance.	<b>9. AGREEMENT NO.</b>
	<b>10. DATE OF AGREEMENT</b>

<b>11. PPQ/CBP OFFICIAL (NAME AND TITLE)</b>  Philip A. Bailey, Supervisory PPQ Officer	<b>12. ADDRESS</b> USDA,APHIS,PPQ C/O: Philip A. Bailey 1506 Klondike Rd., Suite 306 Conyers, GA 30094 Phone: 770-860-4025 / Fax: 770-860-4050
<b>13. SIGNATURE</b>	

<b>14. U.S. GOVERNMENT/STATE AGENCY OFFICIAL (NAME AND TITLE)</b>	<b>15. ADDRESS</b>
<b>16. SIGNATURE</b>	

Appendix I

PPQ Form 530 – (Limited Permit)

No. C-325575

Information requested is needed to determine if a permit can be issued (7 CFR 301).  
FORM APPROVED: OMB NOS. 0579-0008 & 0579-0123  
See reverse side for additional information.  
U.S. DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE  
PLANT PROTECTION AND QUARANTINE

**LIMITED PERMIT**

This permit authorizes the movement of the NONCERTIFIED articles described below to a specified destination for limited handling, utilization, or processing, or for treatment. The movement of such articles is regulated by Federal or State cooperative domestic plant quarantines.

1. DATE ISSUED \_\_\_\_\_ 2. VOID AFTER \_\_\_\_\_

3. NAME OF CONSIGNOR \_\_\_\_\_

4. SHIPPING POINT \_\_\_\_\_

5. NAME AND ADDRESS OF CONSIGNEE \_\_\_\_\_

6. VEHICLE LICENSE NO. & STATE \_\_\_\_\_ 7. R.R. CARRIAGE NO. & INITIALS \_\_\_\_\_

A. DESTINATION		C. Remarks
B. Date		

8. SIGNATURE OF ISSUING OFFICER \_\_\_\_\_

**ENDORSEMENT**

The above described shipment was received by the designated consignee, and was handled in the manner approved under the provisions of all applicable Federal or State cooperative domestic plant quarantines.

10. DATE RECEIVED \_\_\_\_\_

11. SIGNATURE OF DESTINATION OFFICER \_\_\_\_\_

**PENALTY FOR MISUSE OR ALTERATION (7 USC 163)**

PPQ FORM 530 (FEB 2002)  
Previous edition dated APR 88 may be used.

PART 1 - CONSIGNEE'S COPY

U.S. GOVERNMENT PRINTING OFFICE: 2002-722-410

Sample

Appendix J

PPQ Form 540 – (Certificate)

FORM APPROVED  
OMB NO. 0579-0088

Information requested is needed to determine if a permit can be issued (7 CFR 301). See reverse side for additional information.

**089704**

U.S. DEPARTMENT OF AGRICULTURE  
Animal and Plant Health Inspection Service  
Plant Protection and Quarantine  
**CERTIFICATE**  
This certificate must be surrendered to the consignee at destination of shipment.

The articles described below are certified under all applicable Federal or State cooperative domestic plant quarantines.

1. DATE ISSUED \_\_\_\_\_ 2. VOID AFTER \_\_\_\_\_

3. NAME OF CONSIGNOR \_\_\_\_\_

4. SHIPPING POINT \_\_\_\_\_

5. NAME & ADDRESS \_\_\_\_\_

6. VEHICLE LICENSE NO. & STATE \_\_\_\_\_ 7. DRIVER INITIALS & NO. \_\_\_\_\_

A. QUANTITIES AND DESCRIPTION		
Quantity	Article	Remarks

9. SIGNATURE OF ISSUING INSPECTOR \_\_\_\_\_

**PENALTY FOR MISUSE OR ALTERATION (7 USC 163)**

PPQ FORM 540 (APR 89) Previous edition obsolete. **PART 1—CONSIGNEE**

Sample